INDEPENDENT AI AUDIT · FOR AI THAT ACTS IN YOUR ESTATE

Independent checks on the AI that acts inside your systems.

As AI agents begin to act inside the ERP, someone independent of the AI vendor and the delivery team needs to see what they did, within what authority, and who is accountable. The Independent AI Audit gives you that view from your own records.

PRAIS · decide Before you commit | **Orchestra · run** While it runs | **Assure · prove** At each gate | **Sustain · drive** After go-live | **Independent AI Audit** For AI in your estate

WHAT IT DOES

A register of every AI use case and agent

Each one with a named owner and a risk tier. It flags any with no owner, any high-risk system without clear oversight, shadow AI, and personal data flowing to external models.

What an agent actually did

The agent's output re-tied to your own totals, and checked for authority and scope, behaviour drift, segregation of duties on the agent's own identity, input integrity, audit-trail completeness, change control and control coverage.

The owner's terms

Who can stop an agent and whether a stop was ever tested, which budget pays, a written fallback and its test, and the point at which review escalates. Declared by you and credited only with an evidence reference.

The AI connection register

Each connection into your systems with its role set against the user's, whether it can read or write, the tools it exposes and who approved each, and who reviews the log and how often.

The owner's sample review

Who samples an agent's outputs, how often, how large the sample, when it was last taken and how many had errors. The cadence and size are your own.

Pilot against production

The pilot reading and the production reading of one named measure side by side, with the share of pilot results corrected by hand and the growth in volume.

What the records contain

From your own export, whether each action's record carries the inputs relied on and an approver, and whether it was made later than the action, and by how long.

A one-page summary for the audit committee

The register, the checks and the declarations brought together on one page, with each declaration clearly marked as yours.

WHAT IT DOES FOR YOU

Independent of the AI vendor

The check does not come from the people selling or running the agent, so the board can rely on it.

A named owner for every agent

Accountability is attached to a person before an agent scales, and the gaps are listed.

Evidence for the audit committee

What each agent did, within what authority, and who can stop it, from your own records.

A scale-up condition that reports

A reading shows whether a named owner, a tested stop, a budget, a fallback and a sample review are in place before an agent scales. It reports and blocks nothing.

Independent AI Audit: what it reads, what you receive, and what to know.

WHAT IT READS

- **Your own records:** action and decision logs, authorisations, change documents and outcome totals, as exports or summed counts.
- **Declarations from you,** such as the owner's terms and the connection register, each credited only with an evidence reference.
- **A row-level export** of the agent's decisions, where you have one, for the record-content reading.
- **Your AI inventory,** so the register is complete.

WHAT YOU RECEIVE

- **The AI register** with owners, risk tiers and flags.
- **A check for each agent** showing what it did against what it was authorised to do.
- **The declarations,** shown beside your own dates and figures.
- **A one-page audit-committee summary.**

HOW IT FITS WITH THE OTHER PLATFORMS

- **Assure** hosts the agent checks, the connection register and the sample, pilot and record readings. **Orchestra** carries the connection register as a programme tab.
- It extends the migration method to the AI that you add on top of the migrated estate.
- It is a separate product from the four platforms and can start before or after a migration.

What it is not, and what to know

- **Today it is point in time, from what you supply.** It is not live and not continuous, and it does not connect to your systems.
- **Declarations are your attestation.** They are recorded and credited only with an evidence reference, and not verified from our side.
- **It records and tests. The owner decides.** There is no pass mark on how much is enough, and Roltrader signs nothing.
- **A judgement the data cannot verify is flagged, never scored.** The output is a report of findings, not a certificate.

QUESTIONS WE ARE USUALLY ASKED

Is it only for AI we built?	No. It covers any AI use case or agent you register, whoever supplied it.
Does it work on our own agents inside SAP?	It reads the trail the agent leaves in your records. Where a delivery party or vendor supplies an input, the reading is labelled as such.
Is it a certification?	No. It is a verifiable report of findings. Roltrader does not certify.

How to start. The AI Audit is scoped separately and can start before or after a migration. Speak with your Roltrader partner to agree the inventory and the first agents. Have a sponsor and the person accountable for AI governance in mind.
roltrader.com